



# Information in Spoken Language

## A quantitative approach

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LOT winterschool 2006



AMSTERDAM CENTER  
FOR LANGUAGE AND  
COMMUNICATION





## 1 Symbolic Information in language

- Introduction
- Information in the Lexicon
- Markov models
- Hidden Markov Models
- Predictability in context
- Human word recognition
- Phonemic information
- Bibliography



## Language is understood in symbolic form

- **Speech is transcribed into phonemes and words**
- Somehow, phonemes seem to matter for understanding
- What information is carried by a single phoneme?
- Answer depends on the information in words
- The predictability of words
- Word recognition by humans
- What does a single phoneme contribute?



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## Content and function words

- A basic distinction
- Content words have an independent, lexical, meaning
- Function words have little, if any, lexical meaning, but chiefly indicate a grammatical relationship
- Function words form a closed class (around 1000 words)
- Content words belong to an open class
- Function words have a high frequency/low information content
- But how to treat the information content of words itself?



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## Word frequencies follow a Zipf distribution

- 8 Jane Austens novels (1811-1817)
- 14,817 word forms on 801,183 words (tokens)
- Most frequent 10: *the to and of a her I in was it* (180,464 tokens)
- 4,571 words occur only once, 1,992 twice
- $H = 9.306$  bits/form
- Kullback-Leibler(Words, Zipfs dist) = 0.173 bits/form (average information difference)

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## Works of several authors 1750-1900?

- Large variation in number of distinct word forms (vocabulary)
- Information per word ( $H$ ) roughly constant ( $2^H \approx 890$ )
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Henry Fielding	0.141	0.311	0.377	0.627
Jane Austen	0.323	0.173	0.326	0.767
Brontë sisters	0.323	0.270	0.108	0.712
Plato (transl.)	0.581	0.588	0.651	0.129

## Kullback-Leibler divergence or Cross Entropy

- Diagonal terms are distance to Zipf distribution
- $KL(q, p) = H(p) - H_X(q, p) \approx$  information difference per word
- Averaged over one of the distributions
- Multi-authored translations of Plato differ from novels
- All works differ more from each other than from Zipf's distr.



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Henry Fielding	0.489	0.460	0.447
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## Normalized Compression Distance (NCD)

- $$NCD(x, y) = \frac{C(xy) - \min(C(x), C(y))}{\max(C(x), C(y))}$$
- $C(x)$  is number of distinct word forms in text
- Half the words in the larger corpus do not appear in smaller corpus
- Jane Austen's works had the smallest "vocabulary"  $\Rightarrow$  largest distances



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# Markov models: Predictability

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$$P(w_{i+1}|w_i) = \frac{P(w_{i+1}, w_i)}{P(w_i)} \quad (1)$$

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$$P(w_1, \dots, w_n) \approx \prod_{i=1}^n P(w_i | w_{i-N+1}, \dots, w_{i-1}) \quad (2)$$

## Extremely useful technology

- Probability of the next word, given the previous words
- Probability of a sentence (N-gram)
- Most likely sentence (eg, using Viterbi algorithm)
- Humans do not use anything like it



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HMM technology is extremely important for language  
(re-)search

- Split the problem in an Observation part and a Model part
- The “states”,  $S_i$ , are not visible
- However, they can be estimated using the observation probabilities  $P(O_i|S_i)$  and the transition probabilities  $P(S_i|S_{i-1})$
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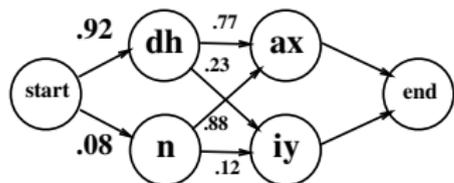
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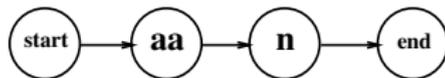
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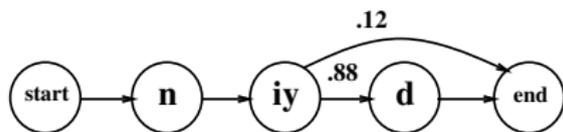
# Hidden Markov Models: Pronunciation networks



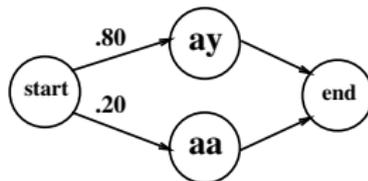
Word model for "the"



Word model for "on"



Word model for "need"



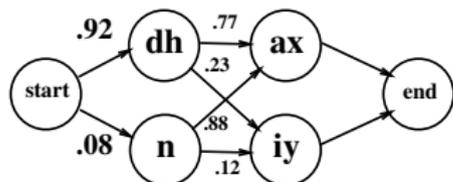
Word model for "I"

## Construct phone state models for each word in the dictionary

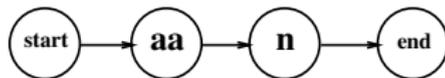
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- Transition probabilities are "trained" from the frequency of occurrence of the pronunciation in the corpus



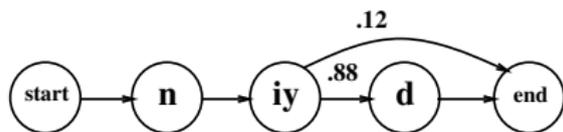
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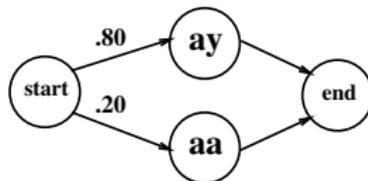
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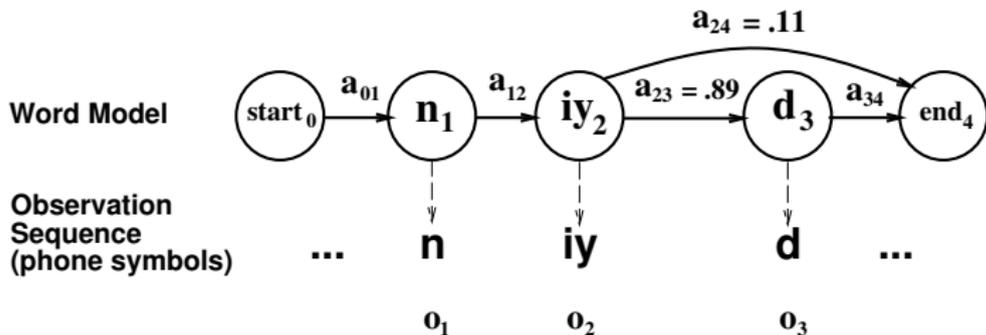
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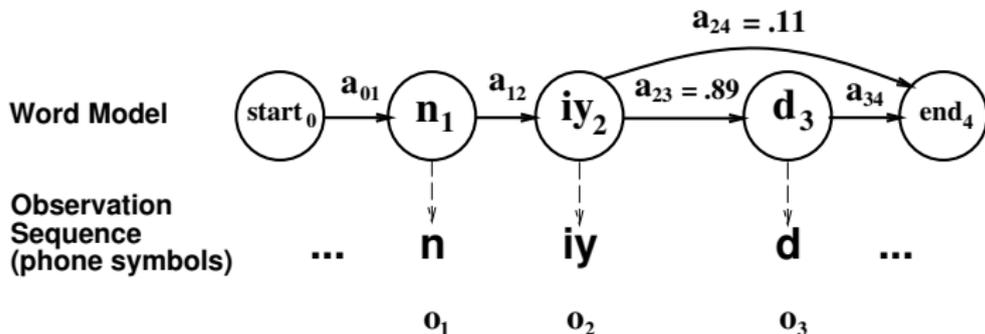


## Word models: simple phone state model for *need*

- Each transition has a probability
- start and end are special states
- Each state *or* each transition has associated sound observations with a distinct probability density function (PDF)



# Hidden Markov Models: Hidden states

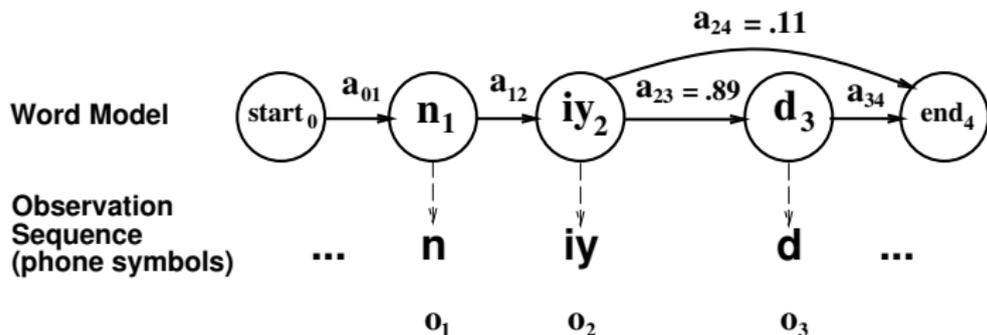


## Word models: simple phone state model for *need*

- Each transition has a probability
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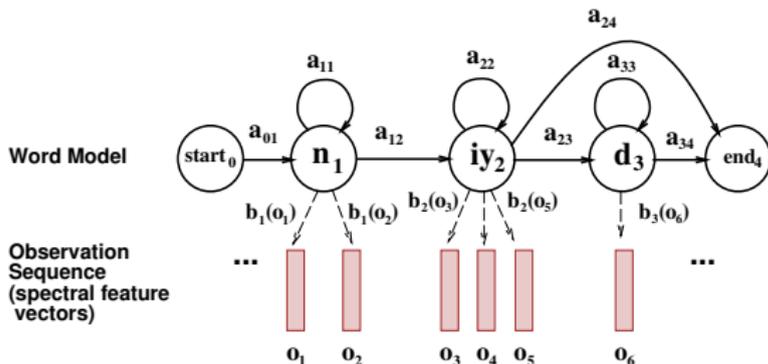


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# Hidden Markov Models: Observation probabilities

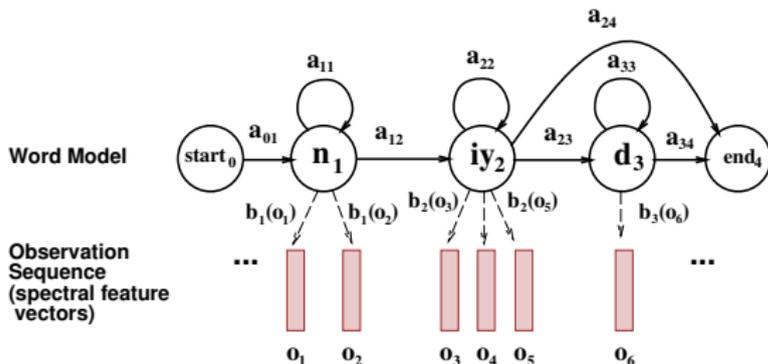


Observed are sound "spectra" for time "frames"

- Observation sequences have a probability
- Calculate this probability for each possible word
- Probabilities of observation  $O_i$  calculated from all possible underlying states
- Chose word *sequence* with the highest overall probability



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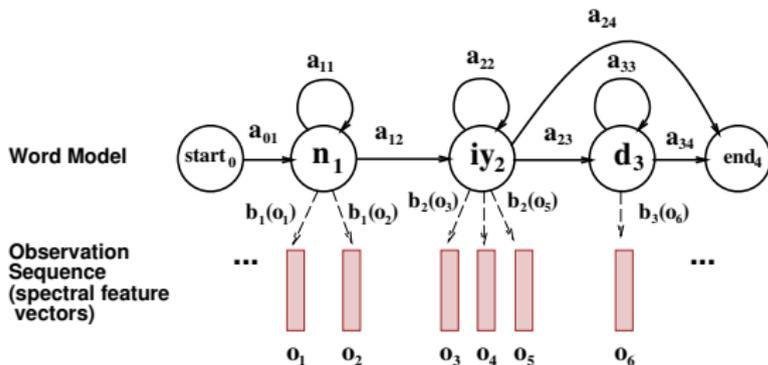
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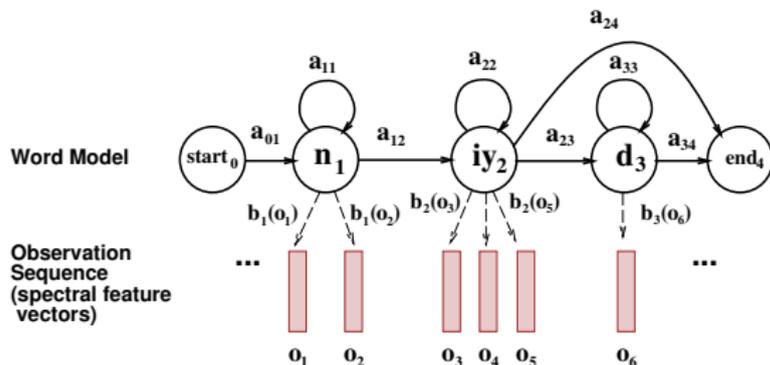
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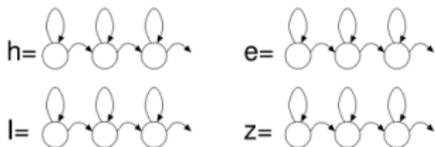
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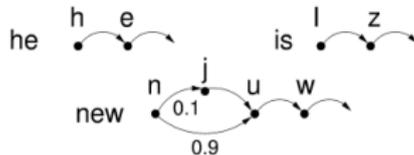
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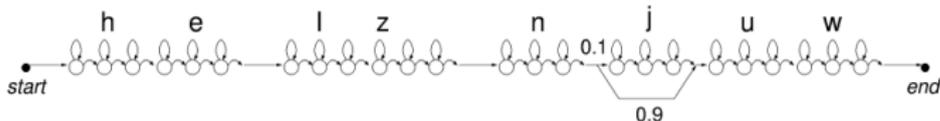
## HMM phone models



## Lexicon



## Sentence model: 'he is new'



## Phone models are concatenated into utterance networks

- Each word model is itself a Markov finite state network of phone models
- Phones and word are connected through the *start* and *end* states (not shown)



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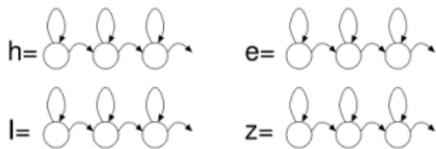
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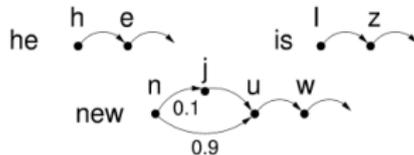
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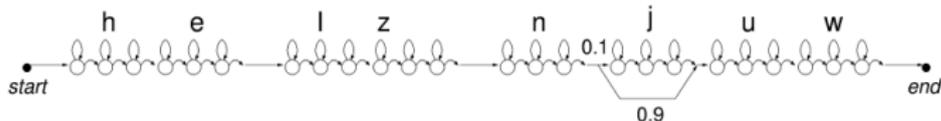
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- Automatic Speech Recognition
- Automatic POS tagging:  $P(POS_i | Word_i, POS_{i-1}) = P(Word_i | POS_i) \cdot P(POS_i | POS_{i-1})$
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- Speech Understanding with Semantic HMMs  
 $P(Message_i | Words) = P(Words | Message_i) \cdot P(Message_i | Message_{i-1})$   
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# Predictability in context

Movies. . . pop-corn

Cats and. . . dogs

A stitch in time saves. . . nine

Good morning,. . . how are you

No real model of human grammar but there are regularities in human (re-)cognition

- Priming: people expect words given associates
- Semantic web: words in proximity tend to be cognates
- Words group in “documents”
- Words tend to cluster inside “texts”



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# Predictability in context: In-document frequency



Distinguish global and local word frequencies: Term Frequency times Inverse Document Frequency (TF\*IDF)

- Humans expect certain words based on topic, form, and style
- Break down corpus into small units, eg, articles, of roughly equal size
- Determine global word frequency
- Divide it by the fraction of documents the word is used in
- In-document frequency accounts statistically for both *priming* and *semantic webs*

[Pan and McKeown(1999)]

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# Predictability in context: Context distinctiveness



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CD = Kullback-Leibler distance between local context distribution and global word distribution

[McDonald and Shillcock(2001)]

## Predictability from direct context

- Use a statistical predictor
- Determine information about a word in its context
- $\Rightarrow$  Kullback-Leibler divergence
- Bag-of-words technique, eg, 10 word contexts  
[Sproat and van Santen(1998)]
- Difference in distribution around a word predicts the word
- Subtract context divergence from word information
- Context increases “perceived” frequency

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## Basic aspects of word recognition

- Prelexical: Sound → Phonemic Symbols
- Postlexical:
  - → Activation
  - → Competition
  - → Selection

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# Human word recognition: Postlexical stage - Activation



Phon.	ML Word	I(W)	Matching N	Dutch
b	bij	7.882	122,629	terms
bo:	bovendien	11.658	5772	terms
bo:m	bomen	14.831	798	terms

After recognizing a new phoneme, words are activated

- **All** matching words are activated
- Frequent words are activated more than rare words
- Non-matching phonemes decrease or end the activation of a word
- A word end is identified after an impossible continuation
- Word boundaries are also indicated by prosodic markers
- Simple model ignores morphological structure

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- Non-matching phonemes decrease or end the activation of a word
- A word end is identified after an impossible continuation
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[Cutler(1997)] [Norris et al.(2000)Norris, McQueen, and Cutler]

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# Human word recognition: Postlexical stage - Activation



Phon.	ML Word	I(W)	Matching N	Dutch
b	bij	7.882	122,629	terms
bo:	bovendien	11.658	5772	terms
bo:m	bomen	14.831	798	terms

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# Human word recognition: Postlexical stage - Competition



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## Words “compete” for activation

- Recognition is blocked as long as there are multiple candidates left
- Only when there is a single word left, is it recognized
- Words can occur inside other words
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# Human word recognition: Postlexical stage - Selection



## Competition blocks selection

- If there is only a single candidate left, chose it
- If there is no single perfect candidate, chose the best (eg, *cigaret* for /ʃɪgəɾɛt/)
- Ganong effect: All candidates that fit an incomplete phoneme remain activated (eg, /*(g/k)ot*/ → *Goat* or *Coat*)
- Without enough phonetic “evidence”, the most likely word is chosen → phonemic restoration
- People are only rarely aware of what *phonemes* have actually been spoken

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## What difference a phoneme makes?

- **Combine Information theory with Human word recognition**
- Words matching a phoneme onset with and without the new phoneme added
- $I(\text{phon}|\text{onset}) = H(W|\text{onset} + \star) - H(W|\text{onset} + \text{phon})$
- $H(W|S)$ : Entropy of words matching  $S$
- Use a large (automatically) transcribed corpus, eg, *350Mword Twente News Corpus* [Ordelman(2002)]
- Sensitive to vocabulary structure
- But: Psychologically implausible?
- Compound words, > 1 million word-forms?
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# Phonemic information: Alternative



$$\begin{aligned} I(\textit{phon}|\textit{onset}) &= -\log_2 P(\textit{phon}|\textit{onset}) \\ &= -\log_2 \frac{\textit{TokenCount}(\textit{onset} + \textit{phon})}{\textit{TokenCount}(\textit{onset} + \star)} \end{aligned}$$

## Information in phoneme given preceding onset

- Insensitive to vocabulary structure
- Can use smaller ( $\approx 30Mw$ ) corpora
- Adaptable
- Psychological plausibility???
- Data are available



# Phonemic information: Alternative

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# Phonemic information: In-document frequency



$$I'(phon|onset, w) = -\log_2 \frac{TokenCount(onset + phon) + D(w)}{TokenCount(onset + *) + D(w)}$$

$D(w) = (TF(w) \cdot IDF(w) - TF(w)) \cdot TotalCount$   $TF(w)$ : Term frequency of  $w$

## Include statistical predictability of in-document frequency

- In the text, the correct word will be “predictable”
- Perceived “frequency” of the correct word,  $w$ , is the in-document frequency,  $TF(w) \cdot IDF(w)$
- Assume only the correct word,  $w$ , is boosted
- Replace global frequency of  $w$  by in-document frequency

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# Phonemic information: Context distinctiveness



$$I''(\text{phon}|\text{onset}, w) = -\log_2 \frac{\text{TokenCount}(\text{onset} + \text{phon}) + D(w)}{\text{TokenCount}(\text{onset} + \star) + D(w)}$$

$$D(w) = (TF(w) \cdot 2^{CD(w)} - TF(w)) \cdot \text{TotalCount}$$

$$CD(w) = KL(\text{LocalDistr}(w), \text{GlobalDistr})$$

$TF(w)$ : Term frequency of  $w$

Focus on direct context of  $w$ :  $\text{LocalDistr}(w)$

- $CD(w)$ : Kullback-Leibler distance between local and global distribution
- Perceived frequency is  $TF(w) \cdot 2^{CD(w)}$
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# Phonemic information: Context distinctiveness



## Example: /o/ in Dutch “boom” (*tree*) using CELEX/CGN

- Word tokens starting with /bo/: **67,710** (1,172 CELEX entries)
- The same for /b./: 1,544,483 (26,186 CELEX entries)
- $I = -\log_2\left(\frac{67710}{1544483}\right) = 4.51$  bit
- Relative CGN frequency of *boom*:  $5.05 \cdot 10^{-5}$
- Context Distinctiveness:  $CD(\textit{boom}) = 4.53$  bit
- Relative frequency in context:  $2^{CD(\textit{boom})} \cdot 5.05 \cdot 10^{-5} = 1.2 \cdot 10^{-3}$
- CELEX word count of *boom*: 2,226 (smoothed count)
- Context-corrected CELEX count: 45,402 ( $= 1.2 \cdot 10^{-3} \cdot 39 \cdot 10^6$ )
- Correction term:  $D(\textit{boom}) = 45,402 - 2,226 = 43,176$
- $I'' = -\log_2\left(\frac{67710 + 43176}{1544483 + 43176}\right) = 3.84$
- That is,  $I'' < I$ , so context reduces lexical uncertainty.

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- Relative frequency in context:  $2^{CD(\textit{boom})} \cdot 5.05 \cdot 10^{-5} = 1.2 \cdot 10^{-3}$
- CELEX word count of *boom*: **2,226** (smoothed count)
- Context-corrected CELEX count: 45,402 ( $= 1.2 \cdot 10^{-3} \cdot 39 \cdot 10^6$ )
- Correction term:  $D(\textit{boom}) = 45,402 - 2,226 = 43,176$
- $I'' = -\log_2\left(\frac{67710 + 43176}{1544483 + 43176}\right) = 3.84$
- That is,  $I'' < I$ , so context reduces lexical uncertainty.

# Phonemic information: Context distinctiveness



## Example: /o/ in Dutch “boom” (*tree*) using CELEX/CGN

- Word tokens starting with /bo/: 67,710 (1,172 CELEX entries)
- The same for /b./: 1,544,483 (26,186 CELEX entries)
- $I = -\log_2\left(\frac{67710}{1544483}\right) = 4.51$  bit
- Relative CGN frequency of *boom*:  $5.05 \cdot 10^{-5}$
- Context Distinctiveness:  $CD(\textit{boom}) = 4.53$  bit
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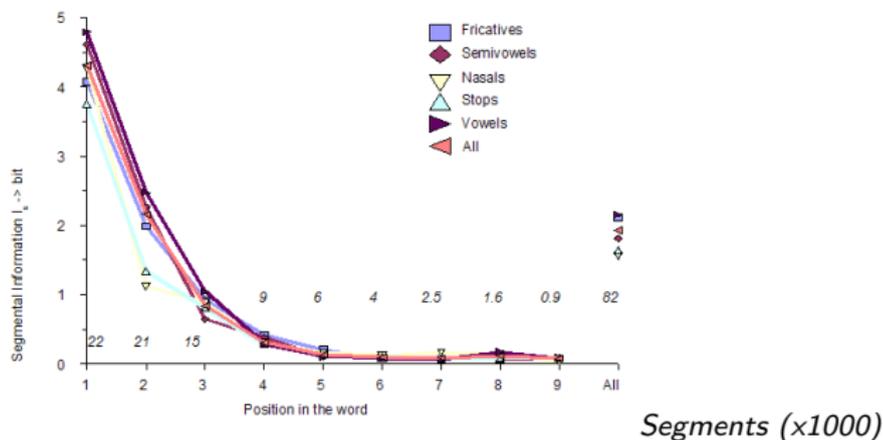
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# Phonemic information



$I''(\text{phon}|\text{contex}, w)$  versus the position in the word

- Only syllables without a /ə/
- Strong decline after a few positions part of model
- All manners of articulation carry the same information



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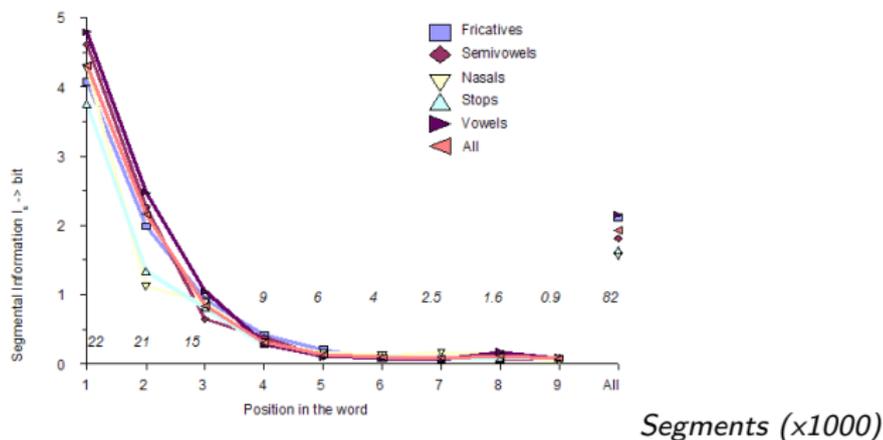
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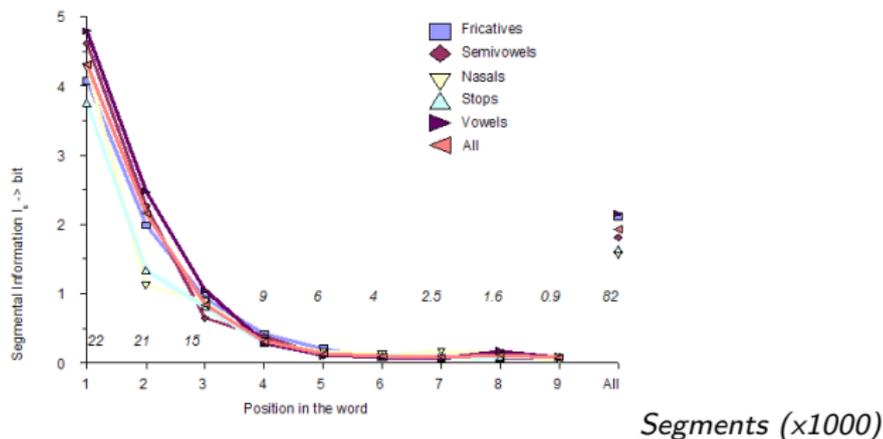
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